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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

04/26/01



PATENT & TRADEMARK Application

Inventor(s): Zucherman et al.

SC/Serial No.: Unknown

Filed: Herewith

Title: SUPPLEMENTAL SPINE FIXATION DEVICE AND METHODS

PATENT APPLICATION



Customer No. 23910

CERTIFICATE OF MAILING BY "EXPRESS MAIL"
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I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Box PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

Matthew A. Mahling
 Matthew A. Mahling
 Signature Date: April 26, 2001

CONTINUATION-IN-PART APPLICATION TRANSMITTAL LETTER
UNDER 37 C.F.R. §1.53(b)(2)

Box PATENT APPLICATION
 Commissioner for Patents
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the Continuation-In-Part patent application identified as follows:

Inventor(s): James F. Zucherman, Ken Y. Hsu, Charles J. Winslow, Henry A. Klyce

Title: SUPPLEMENTAL SPINE FIXATION DEVICE AND METHODS

No. of pages in Specification: 100; No. of Claims: 44.

No. of Sheets of Drawings: 104; Formal: X, Informal: .

Also enclosed are:

- ☒ A Declaration (unsigned)
- ☐ An Assignment and Recordation Form Cover Sheet.
- ☐ A certified copy of a priority application.
- ☐ A Power of Attorney.
- ☐ A Statement to establish small entity status.
- ☒ An Information Disclosure Statement under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$355.00		
		\$710.00	=	\$355.00
Total		\$ 9.00		
Claims <u>44</u> - 20	= <u>24</u> *	\$ 18.00	=	\$216.00
Independent		\$ 40.00		
Claims <u>3</u> - 3	= <u>0</u> *	\$ 80.00	=	\$0.00
First Presentation of		\$135.00		
Multiple Dependent Claim(s) <u> </u>		\$270.00	=	\$
Total			=	\$571.00

*If the difference is less than zero, enter "0".

- ☐ Please charge Deposit Account No. 06-1325 in the amount of \$____. A duplicate copy of this authorization is enclosed.
- ☒ A check in the amount of \$571.00 is enclosed.

X The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

X Any additional filing fees under 37 C.F.R. §1.16.

X Any patent application processing fees under 37 C.F.R. §1.17.

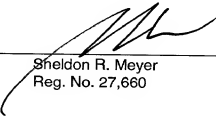
This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

Please direct all correspondence concerning the above-identified application to the following address:

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Respectfully submitted,

Date: April 26, 2001

By: 
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